

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rudolf HAUKE et al

Serial No.: 10/538740

Group Art Unit:

2876

Filed:

June 14, 2005

For:

METHOD AND DEVICE FOR

COLLECTING BIOMETRIC DATA

### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached excluding U.S. patents and publications.

The documents listed on the attached Form PTO-1449 were cited in the International Search Report. A copy of the ISR is attached.

In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits of the above-identified application.

Should any additional fee be required by the filing of this statement, please charge such fee to Deposit Account No. 06-1358.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By:

John C. Holman

Registration No. 22,769 400 Seventh Street, N.W. Washington, DC 20004-2218

(202) 638-6666

Atty. Dkt. No.: P70585US0 Date: December 11, 2006

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# TRANSLATION OF THE INTERNATIONAL SEARCH REPORT

# International Application no. PCT/EP 2005/001230

Classification of the Object of Invention G06K9/00

According to the International Patent Classification (IPK) or to the National Classification and the IPK

#### B. Searched Fields

Searched minimum search file (Classification System and Classification Symbol)

G06K

Searched publications however not belonging to the minimum search file as far as these fall under the searched areas

The following databases were also consulted (Name of the database and the hosts)
EPO-Internal, PAJ

#### C. Documents respected as fundamentally

Cat.	Name of publication, part being considered	Refers to
	this one as far as required under detail	claim no.
T	D.MALTONI: "Handbook of Fingerprint Recognition" June 2003 (2003-06), SPINGER, NEW YORK , XP002363594 page 59 - page 74	1-39
	page 62, line 18 - line 19 page 60, line 20 - page 61, line 2 Fig. 2.12	1-31 32-39
T	US 5 991 431 A (BORZA ET AL) November 23, 1999 (1999-11-23) Column 6, line 66 - column 7, line 6	1-39
T	DE 10 2004 003783 A1 (TBS HOLDING AG, PFAEFFIKON) September 1, 2005 (2005-09-01) Paragraphs (0011), (0017)	1-39

± 1 CATEGORY OF CITED DOCUMENTS

X: of special importance when considered on its own

Y: of special importance in conjunction with another publication of the same category

A: technological background

O: nonwritten disclosure

P: intermediate literature

T: theories or principles based on the invention

E: prior patent document, but only published on or after filing date

D: document cited in the application

L: document cited for other reasons

&: member of same patent family, corresponding document

January 23, 2006

Closing date of search Date of mailing of the search report 02/22/2006

Name and Address of the international Search Department Europäisches Patentamt, P.B.5818 Patentlaan 2 NL-2280 HV Rijswijk Tel.(+31-70)340-2040, Tx.31 651 epo nl, Fax: (+31-70) 340-3016

Granger, B

page 1 of 2

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	considered this one as far as required under detail	claim no.
X	DE 34 24 955 A1 (SIEMENS AG) January 16, 1986 (1986-01-16) Fig. 5 Page 5, line 36 - page 6, line 36;	1-39
T	Figures 1,2 Page 7, line 1 - line 17	1-39
х	US 2004/114783 A1 (SPYCHER MARTIN ET AL) June 17, 2004 (2004-06-17) Pages 4-5, Paragraph 51; Figures 2-4	1-31
<b>X</b>	WO 99/41631 A (ELECTRO-OPTICAL SYSTEMS CORPORATION) August 19, 1999 (1999-08-19) Page 3, line 7 - line 11; Fig. 2 Page 9, line 15 - line 20 Page 10, line 13 - line 20 Page 15, line 16 - line 30	1-31
X	US 6 636 701 B1 (VEZARD NICOLAS ET AL) October 21, 2003 (2003-10-21) Column 8, line 33 - line 35; Figures 3,4 abstract	1-31
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X	US 5 177 802 A (FUJIMOTO ET AL) January 5, 1993 (1993-01-05) Column 21, line 1 - Column 28, line 36; Figures 24-35	32–39
X	US 2002/003892 A1 (IWANAGA MASAKUNI) January 10, 2002 (2002-01-10) Paragraph (0076) - Paragraph (0078); Fig. 6a Paragraph (0107); Fig. 12b Fig. 16	1-39
X	DE 101 53 808 A1 (TST TOUCHLESS SENSOR) TECHNOLOGY SALES & MARKETING AG, ZUERICH) May 22, 2003 (2003-05-22) Paragraph (0001) Column 5, line 36 - line 37; Figures 1,2	1-31
T	Column 3, line 16 - line 21	

# International Search Report

Details on publications which are part of the same patent family

International Application no. PCT/EP 2005/001230

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#### JACOBSON HOLMAN PLLC

400 SEVENTH STREET, N.W. WASHINGTON, D.C. 20004-2201

#### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

TTY. DOCKET NO.: <u>P70585US0</u> ERIAL NO.: 10/538740		GROUP ART ( FILING DAT)		2876 June 14, 2005		
APPLICANT(S):		E et al	TODAY'S DAY			1, 2006
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		U.S. PA	ATENT DOCUMENTS			
*EXAMINER	DOCUMENT	D3.000	277.477	~~~~	SUB-	FILING DATE
INITIAL	NUMBER	DATE	NAME	<u>CLASS</u>	CLASS 1	[f Appropriate]
AA	3138059	06/23/64	White	88_	24	
AB	5177802	01/05/93	Fujimoto et al	382	4	
AC	<u>5991431</u>	11/23/99	Borza et al	_382_	127	
AD	<u>6636701</u>	10/21/03	<u>Vezard et al</u>	<u> 396</u>	374	
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	NUMBER	DATE	COUNTRY	CLASS		TRANSLATION
	NONDER	<u> </u>	COONTRI	CLASS	CTHOS I	(ES) (NO)
AG	3424955A1	01/16/86	Germany			XX
AH	10153808A1	05/22/03	Germany			XX
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OTHER	ART (Includi	ing Author,	Title, Date, Per	tinent Pa	ages, Et	.c.)
AK	DMaltoni,	HANDBOOK C	F FINGERPRINT REC	OGNITION	. June 2	2003.
	pgs. 59-74,					
AL						
AM				a.		
EXAMINER			DATE CONSIDERED			

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)